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September 24, 2002

FILE 4100.002000

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington D.C. 20231, on the date below:

September 24, 2002

Date

Shelley P.M. Fussey

Assistant Commissioner for Patents Washington, DC 20231

RE:

U.S. Patent Application Serial No. 09/442,542; "Sustained DNA Delivery from Structural Matrices"; Shea, Bonadio, Mooney and Peters; (Client Reference: UM 1522P1)

Sir:

Enclosed for filing in the above-referenced patent application is a Supplemental Information Disclosure Statement, PTO-Form 1449 and copies of references A31-A34.

No fees are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Assistant Commissioner is hereby authorized to deduct said fees from Williams, Morgan & Amerson, P.C. Deposit Account No. 50-0786/4100.002000.

WILLIAMS, MORGAN & AMERSON, P.C. Assistant Commissioner for Patents September 24, 2002 Page 2 Please date stamp and return the enclosed postcard evidencing receipt of these materials. Respectfully submitted, Shelley P.M. Fussey Reg. No. 39,458 Encls.



CERTIFICATE OF MAILING 37 C.F.R. § 1.8

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14

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Shea, Bonadio, Peters and Mooney

Serial No.: 09/442,542

Filed: November 18, 1999

For: SUSTAINED DNA DELIVERY FROM

STRUCTURAL MATRICES

RECEIVED

Group Art Unit: 1633

Examiner: Kerr, J.

. 1**E**OH WENGER 1600 2900

Atty. Dkt. No.: 4100.002000

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record in the present case. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Although first and second Restriction Requirements have been received, the present

Supplemental Information Disclosure Statement is being filed prior to the receipt of a first

Official Action reflecting an examination on the merits. This Supplemental Information

Disclosure Statement is therefore timely filed in accordance with 37 C.F.R § 1.97(b) and no fees

should be due in connection herewith. Even if an Official Action had been issued in the last few

days, no fees would be required in light of the following information.

In accordance with 37 C.F.R. § 1.97(e)(2), it is hereby certified that the documents listed

in the accompanying Form PTO-1449 were not cited in a communication from a foreign patent

office in a counterpart foreign application. The listed documents were cited in an Official Action

on the merits in a co-pending application of one of the present inventors, Serial No. 09/527,636

(Attorney Docket No. 4100.001100), mailed from the P.T.O. on August 01, 2002, less than three

months before the filing of the present statement, and could not have been submitted before

receipt.

1

No fees should be due in connection with the filing of this Supplemental Information

Disclosure Statement. However, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed

necessary for any reason relating to these materials, the Examiner should contact the undersigned

representative to discuss deduction from Williams, Morgan & Amerson, P.C., Deposit Account

No. 50-0786/4100.002000.

Respectfully submitted,

Shelley P.M. Fussey

Reg. No. 39,458

Agent for Applicants

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Date: September 24, 2002

2